

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: MASUOKA, et al.

Serial No.: Not yet assigned

Filed: July 30, 2003

For: NETWORK MONITORING METHOD FOR INFORMATION SYSTEM,  
OPERATIONAL RISK EVALUATION METHOD, SERVICE BUSINESS  
PERFORMING METHOD, AND INSURANCE BUSINESS MANAGING  
METHOD

**INFORMATION DISCLOSURE STATEMENT**  
**UNDER 37 CFR 1.97 & 1.98**

Mail Stop DD  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

July 30, 2003

Sir:

In the matter of the above-identified application, applicants are submitting herewith a copy of a communication from a foreign patent office in a counterpart foreign application and copies of the documents listed in the attached form equivalent to Form PTO-1449 for the Examiner's consideration.

This information disclosure statement is being submitted with the new application.

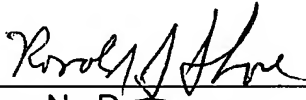
Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that this information disclosure statement be considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to the deposit account of Antonelli, Terry, Stout & Kraus Deposit Account No. 01-2135 (Case: 843.42990X00), and please credit any excess fees to such deposit account.

Respectfully submitted,

ANTONELLI, TERRY, STOUT & KRAUS LLP

  
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~~Registration No. 22,973~~ Reg. No. 28,577

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Attachments

<b>Form PTO-1449</b>	<b>U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE</b>	<b>ATTY. DKT. NO.</b> 843.42990X00	<b>SERIAL NO.</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use several sheets if necessary)		<b>APPLICANT</b> MASUOKA, et al.	
		<b>FILING DATE</b> July 30, 2003	<b>GROUP</b>

**U.S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date
AA	5,948,055	09/07/1999	PULSIPHER, et al.	709	202	08/29/1996
AB	5,787,252	07/28/1998	SCHETTLER, et al.	195	200.54	11/01/1995
AC						
AD						
AE						
AF						
AG						
AH						
AI						
AJ						
AK						
AL						

**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No
AM							
AN							
AO							
AP							
AQ							
AR							
AS							
AT							

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

AU	Basel Committee on Banking Supervision, "Working Paper on the Regulatory Treatment of Operational Risk", September 2001
AV	English language translation of "All About Operational Risk", Society for the Study of Operational Risk of Mitsubishi Trust and Banking Corporation, TOYO KEIZAI Inc., March 2002, Pgs. 108-112, 133-134
AW	W. Richard Stevens, "UNIX Network Programming, Prentice-Hall", Pgs. 171-196
AX	
AY	
AZ	
<b>Examiner</b>	
<b>Date Considered</b>	